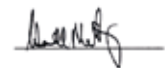


CONDENSED CONSOLIDATED STATEMENT OF FINANCIAL POSITION AS AT 30 September, 2013
Expressed in Trinidad and Tobago Dollars

	Unaudited 30-Sep-13 \$ '000	Restated 30-Sep-12 \$ '000	Restated 31-Dec-12 \$ '000
ASSETS			
Cash and Cash Equivalents	4,996,802	5,418,656	7,309,235
Other Current Assets	329,709	751,637	494,414
Investment Securities	16,746,921	17,176,886	14,933,910
Plant, Property and Equipment	166,826	175,352	172,260
Intangible Assets	13,087	17,207	16,015
TOTAL ASSETS	22,253,345	23,539,738	22,925,834
LIABILITIES			
Accounts Payable and Other Liabilities	257,050	397,705	398,169
Financial Instruments	1,224,118	2,228,466	1,579,161
TOTAL LIABILITIES	1,481,168	2,626,171	1,977,330
EQUITY			
Reserves	1,223,959	1,344,223	1,268,701
Non-controlling Interest	19,548,218	19,569,344	19,679,803
	20,772,177	20,913,567	20,948,504
TOTAL LIABILITIES AND EQUITY	22,253,345	23,539,738	22,925,834


Chairman

The accompanying notes form an integral part of these condensed consolidated financial statements.


Executive Director

CONDENSED CONSOLIDATED STATEMENT OF PROFIT OR LOSS
For the nine months ended 30 September, 2013
Expressed in Trinidad and Tobago Dollars

	Unaudited 30-Sep-13 \$ '000	Restated 30-Sep-12 \$ '000	Restated 31-Dec-12 \$ '000
Gross Income	592,740	530,281	1,033,703
Expenses	(189,968)	(189,056)	(266,191)
Impairment	(142,859)	(296,362)	(334,651)
Net Income before Finance Charges	259,913	44,863	432,861
Finance Charges	(61)	(94)	(119)
Net Income before Taxation	259,852	44,769	432,742
Taxation	(5,384)	(4,798)	(7,287)
Net Income after Taxation	254,468	39,971	425,455

The accompanying notes form an integral part of these condensed consolidated financial statements.

CONDENSED CONSOLIDATED STATEMENT OF COMPREHENSIVE INCOME
For the nine months ended 30 September, 2013
Expressed in Trinidad and Tobago Dollars

	Unaudited 30-Sep-13 \$ '000	Restated 30-Sep-12 \$ '000	Restated 31-Dec-12 \$ '000
Net Income after Taxation	254,468	39,971	425,455
Other Comprehensive (Loss)/Income	(32,290)	411,522	256,893
Total Comprehensive Income for the Period	222,178	451,493	682,348
Net Income after Taxation attributable to:			
Corporation	26,664	52,409	94,442
Non-controlling Interest	227,804	(12,438)	331,013
	254,468	39,971	425,455
Total Comprehensive Income attributable to:			
Corporation	(14,994)	455,067	343,680
Non-controlling Interest	237,172	(3,574)	338,668
	222,178	451,493	682,348

The accompanying notes form an integral part of these condensed consolidated financial statements.

CONDENSED CONSOLIDATED STATEMENT OF CHANGES IN EQUITY
For the nine months ended 30 September, 2013
Expressed in Trinidad and Tobago Dollars

	Unaudited 30-Sep-13 \$ '000	Restated 30-Sep-12 \$ '000	Restated 31-Dec-12 \$ '000
Opening Equity 1 January	20,948,504	993,085	993,085
Impact of adopting IFRS 10 on 1 January, 2013	-	18,162,388	18,162,388
	20,948,504	19,155,473	19,155,473
Total Comprehensive Income for the Period	222,178	451,493	682,348
Capital Contribution from Non-Controlling Interest & Other Changes in Non-Controlling Interest	(5,895)	(18,161)	(19,039)
Revaluation Reserve Attributable to Non-Controlling Interest	235,453	537,042	526,642
Currency Translation Differences	(10,089)	1,189	2,392
Interest on Investment Fund Reserves	569	643	903
Guarantee Reserves Payments	(6,405)	(18,868)	(21,435)
Call on Reserve	(53,000)	-	(28,588)
Subscriptions from Unitholders	2,879,501	3,140,973	4,150,378
Redemptions to Unitholders	(3,272,311)	(2,174,758)	(3,238,758)
Distributions to Unitholders	(166,328)	(161,459)	(261,812)
	20,772,177	20,913,567	20,948,504

The accompanying notes form an integral part of these condensed consolidated financial statements.

CONDENSED CONSOLIDATED STATEMENT OF CASH FLOWS
For the nine months ended 30 September, 2013
Expressed in Trinidad and Tobago Dollars

	Unaudited 30-Sep-13 \$ '000	Restated 30-Sep-12 \$ '000	Restated 31-Dec-12 \$ '000
NET INCOME BEFORE TAXATION	259,852	44,769	432,742
Net Cash Flow From Operating Activities	381,956	254,365	905,301
Net Cash Flow (Used In)/From Investing Activities	(1,771,565)	1,979,917	4,025,960
Net Cash Flow (Used In)/From Financing Activities	(920,017)	554,386	(252,174)
Net (Decrease)/Increase in Cash & Cash Equivalents	(2,309,626)	2,788,669	4,679,087
Cash & Cash Equivalents:			
Beginning of Period	7,309,235	2,629,830	2,629,830
Translation Differences	(2,807)	158	318
End of Period	4,996,802	5,418,656	7,309,235

The accompanying notes form an integral part of these condensed consolidated financial statements.

NOTES TO THE INTERIM CONDENSED CONSOLIDATED FINANCIAL STATEMENTS
For the nine months ended 30 September, 2013
Expressed in Trinidad and Tobago Dollars

1. Nature of operations

The Trinidad and Tobago Unit Trust Corporation (**the Corporation**) controlled twelve (12) entities for the purposes of International Financial Reporting Standard (IFRS) 10, during the nine (9) month period ending 30 September, 2013. Prior to the adoption of IFRS 10 on 1 January, 2013, the Corporation controlled eight (8) entities. The four (4) additional entities controlled during 2013 were the four (4) locally domiciled funds.

2. Basis of preparation

The interim condensed consolidated financial statements for the nine months ended 30 September, 2013 have been prepared in accordance with IAS 34 Interim Financial Reporting.

3. Impact of consolidation on the Consolidated Statement of Changes in Equity

The Group concluded that it should consolidate the locally domiciled funds on adoption of IFRS 10 and that it controlled the said funds before 1 January, 2012. In accordance with the transitional provisions of IFRS 10.C4 (a), it has recognized in the Consolidated Statement of Changes in Equity on 1 January, 2012, an adjustment of \$18.2 billion to non-controlling interest. The adjustment represents unitholders' interests in the net assets of the locally domiciled funds which were consolidated with the Group at 1 January, 2012.

4. Impairment: Correction of prior period error

Two (2) of the locally domiciled funds omitted to impair certain equity investments in their portfolios in prior periods. In accordance with International Accounting Standards 8 - Accounting Policies, Changes in Accounting Estimates and Errors - the omissions have been corrected retrospectively in 2011 (\$86.0 million) and 2012 (\$20.3 million).